

2016

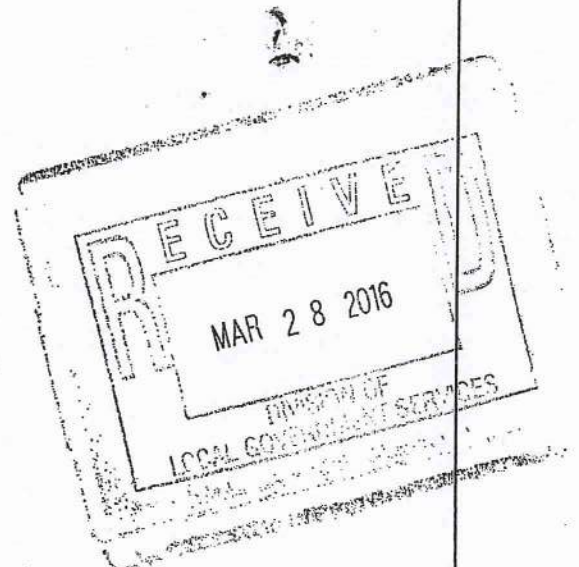
Pompton Lakes Municipal Utilities Authority Budget

www.plbmua.org

Department Of



Community
Affairs



Division of Local Government Services

2016 AUTHORITY BUDGET

Certification Section

2016

POMPTON LAKES MUNICIPAL UTILITIES

AUTHORITY BUDGET


FISCAL YEAR: FROM JUNE 1, 2016 TO MAY 31, 2017

For Division Use Only

CERTIFICATION OF APPROVED BUDGET

It is hereby certified that the approved Budget made a part hereof complies with the requirements of law and the rules and regulations of the Local Finance Board, and approval is given pursuant to N.J.S.A. 40A:5A-11.

*State of New Jersey
Department of Community Affairs
Director of the Division of Local Government Services*

By:  Date: 4/8/16

CERTIFICATION OF ADOPTED BUDGET

It is hereby certified that the adopted Budget made a part hereof has been compared with the approved Budget previously certified by the Division, and any amendments made thereto. This adopted Budget is certified with respect to such amendments and comparisons only.

*State of New Jersey
Department of Community Affairs
Director of the Division of Local Government Services*

By: _____ Date: _____


2016 PREPARER'S CERTIFICATION

POMPTON LAKES MUNICIPAL UTILITIES AUTHORITY BUDGET

FISCAL YEAR: FROM: JUNE 1, 2016 TO: MAY 31, 2017

It is hereby certified that the Authority Budget, including both the Annual Budget and the Capital Budget/Program annexed hereto, represents the members of the governing body's resolve with respect to statute in that: all estimates of revenue are reasonable, accurate and correctly stated; all items of appropriation are properly set forth; and in itemization, form and content, the budget will permit the exercise of the comptroller function within the Authority.

It is further certified that all proposed budgeted amounts and totals are correct. Also, I hereby provide reasonable assurance that all assertions contained herein are accurate and all required schedules are completed and attached.

Preparer's Signature:			
Name:	PAUL J. CUVA (1)		
Title:	AUDITOR		
Address:	401 WANAQUE AVENUE POMPTON LAKES, NJ 07442		
Phone Number:	973-835-7900	Fax Number:	973-835-6631
E-mail address	FWCC@OPTONLINE.NET		

- (1) THIS BUDGET WAS PREPARED FROM INFORMATION PROVIDED TO ME BY THE AUTHORITY.

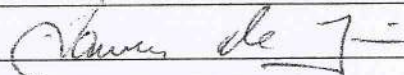
2016 APPROVAL CERTIFICATION

POMPTON LAKES MUNICIPAL UTILITIES AUTHORITY BUDGET

FISCAL YEAR: FROM: JUNE 1, 2016 TO: MAY 31, 2017

It is hereby certified that the Authority Budget, including all schedules appended hereto, are a true copy of the Annual Budget and Capital Budget/Program approved by resolution by the governing body of the Pompton Lakes Municipal Utilities Authority, at an open public meeting held pursuant to N.J.A.C. 5:31-2.3, on the 21st day of March, 2016.

It is further certified that the recorded vote appearing in the resolution represents not less than a majority of the full membership of the governing body thereof.

Officer's Signature:			
Name:	LAWRENCE DEMAIO		
Title:	SECRETARY		
Address:	2000 LINCOLN AVENUE POMPTON LAKES, NJ 07442		
Phone Number:	973-839-3044	Fax Number:	973-616-0434
E-mail address	gdecker@plbmua.org		

INTERNET WEBSITE CERTIFICATION

Authority's Web Address: www.plbmua.org

All authorities shall maintain either an Internet website or a webpage on the municipality's or county's Internet website. The purpose of the website or webpage shall be to provide increased public access to the authority's operations and activities. N.J.S.A. 40A:5A-17.1 requires the following items to be included on the Authority's website at a minimum for public disclosure. Check the boxes below to certify the Authority's compliance with N.J.S.A. 40A:5A-17.1.

- ☒ A description of the Authority's mission and responsibilities
- ☒ Commencing with 2013, the budgets for the current fiscal year and immediately preceding two prior years
- ☒ The most recent Comprehensive Annual Financial Report (Unaudited) or similar financial information
- ☒ Commencing with 2012, the annual audits of the most recent fiscal year and immediately two prior years
- ☒ The Authority's rules, regulations and official policy statements deemed relevant by the governing body of the authority to the interests of the residents within the authority's service area or jurisdiction
- ☒ Notice posted pursuant to the "Open Public Meetings Act" for each meeting of the Authority, setting forth the time, date, location and agenda of each meeting
- ☒ Beginning January 1, 2013, the approved minutes of each meeting of the Authority including all resolutions of the board and their committees; for at least three consecutive fiscal years
- ☒ The name, mailing address, electronic mail address and phone number of every person who exercises day-to-day supervision or management over some or all of the operations of the Authority
- ☒ A list of attorneys, advisors, consultants and any other person, firm, business, partnership, corporation or other organization which received any remuneration of \$17,500 or more during the preceding fiscal year for any service whatsoever rendered to the Authority.

It is hereby certified by the below authorized representative of the Authority that the Authority's website or webpage as identified above complies with the minimum statutory requirements of N.J.S.A. 40A:5A-17.1 as listed above. A check in each of the above boxes signifies compliance.

Name of Officer Certifying compliance

GEORGE D. DECKER

Title of Officer Certifying compliance

CHAIRMAN

Signature



2016 AUTHORITY BUDGET RESOLUTION

POMPTON LAKES MUNICIPAL

UTILITIES AUTHORITY

FISCAL YEAR: FROM: JUNE 1, 2016 TO: MAY 31, 2017

WHEREAS, the Annual Budget and Capital Budget for the Pompton Lakes Municipal Utilities Authority for the fiscal year beginning, June 1, 2016 and ending, May 31, 2017 has been presented before the governing body of the Pompton Lakes Municipal Utilities Authority at its open public meeting of March 21, 2016; and

WHEREAS, the Annual Budget as introduced reflects Total Revenues of \$3,171,000, Total Appropriations, including any Accumulated Deficit if any, of \$ 3,253,000 and Total Unrestricted Net Position utilized of \$82,000; and

WHEREAS, the Capital Budget as introduced reflects Total Capital Appropriations of \$560,000, Total Unrestricted Net Position planned to be utilized as funding thereof, of \$560,000 and total debt authorizations of \$-0- planned to be utilized as funding; and

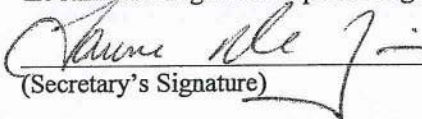
WHEREAS, the schedule of rates, fees and other charges in effect will produce sufficient revenues, together with all other anticipated revenues to satisfy all obligations to the holders of bonds of the Authority, to meet operating expenses, capital outlays, debt service requirements, and to provide for such reserves, all as may be required by law, regulation or terms of contracts and agreements; and

WHEREAS, the Capital Budget/Program, pursuant to N.J.A.C. 5:31-2, does not confer any authorization to raise or expend funds; rather it is a document to be used as part of the said Authority's planning and management objectives. Specific authorization to expend funds for the purposes described in this section of the budget, must be granted elsewhere; by bond resolution, by a project financing agreement, by resolution appropriating funds from the Renewal and Replacement Reserve or other means provided by law.

NOW, THEREFORE BE IT RESOLVED, by the governing body of the Pompton Lakes Municipal Utilities Authority, at an open public meeting held on March 21, 2016 that the Annual Budget, including all related schedules, and the Capital Budget/Program of the Pompton Lakes Municipal Utilities Authority for the fiscal year beginning, June 1, 2016 and ending, May 31, 2017 is hereby approved; and

BE IT FURTHER RESOLVED, that the anticipated revenues as reflected in the Annual Budget are of sufficient amount to meet all proposed expenditures/expenses and all covenants, terms and provisions as stipulated in the said Authority's outstanding debt obligations, capital lease arrangements, service contracts, and other pledged agreements; and

BE IT FURTHER RESOLVED, that the governing body of the Pompton Lakes Municipal Utilities Authority will consider the Annual Budget and Capital Budget/Program for adoption on April 18, 2016.


(Secretary's Signature)

March 21, 2016
(Date)

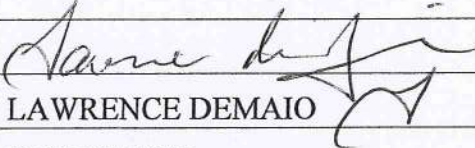
Governing Body Member:	Recorded Vote				
	Aye	Nay	Abstain	Absent	
George D. Decker				X	
Kevin Carroll	X				
Lawrence DeMaio	X				
Neal Galletta				X	
Mike Longo	X				

2016 ADOPTION CERTIFICATION

POMPTON LAKES MUNICIPAL UTILITIES AUTHORITY BUDGET

FISCAL YEAR: FROM: JUNE 1, 2016 TO: MAY 31, 2017

It is hereby certified that the Authority Budget and Capital Budget/Program annexed hereto is a true copy of the Budget adopted by the governing body of the Pompton Lakes Municipal Utilities Authority, pursuant to N.J.A.C. 5:31-2.3, on the 18th day of, April, 2016.

Officer's Signature:			
Name:	LAWRENCE DEMAIO		
Title:	SECRETARY		
Address:	2000 LINCOLN AVENUE POMPTON LAKES, NJ 07442		
Phone Number:	973-839-3044	Fax Number:	973-616-0434
E-mail address	gdecker@plbmua.org		

2016 ADOPTED BUDGET RESOLUTION

POMPTON LAKES MUNICIPAL UTILITIES AUTHORITY

FISCAL YEAR: FROM: JUNE 1, 2016 TO: MAY 31, 2017

WHEREAS, the Annual Budget and Capital Budget/Program for the Pompton Lakes Municipal Utilities Authority for the fiscal year beginning June 1, 2016 and ending, May 31, 2017 has been presented for adoption before the governing body of the Pompton Lakes Municipal Utilities Authority at its open public meeting of April 18, 2016; and

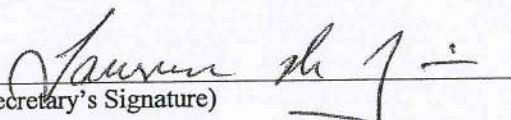
WHEREAS, the Annual Budget and Capital Budget as presented for adoption reflects each item of revenue and appropriation in the same amount and title as set forth in the introduced and approved budget, including all amendments thereto, if any, which have been approved by the Director of the Division of Local Government Services; and

WHEREAS, the Annual Budget as presented for adoption reflects Total Revenues of \$ 3,171,000, Total Appropriations, including any Accumulated Deficit, if any, of \$3,253,000 and Total Unrestricted Net Position utilized of \$82,000; and

WHEREAS, the Capital Budget as presented for adoption reflects Total Capital Appropriations of \$560,000, Total Unrestricted Net Position planned to be utilized of \$560,000 and total debt authorizations of \$-0- planned to be utilized as funding; and

NOW, THEREFORE BE IT RESOLVED, by the governing body of Pompton Lakes Municipal Utilities Authority, at an open public meeting held on April 18, 2016 that the Annual Budget and Capital Budget/Program of the Pompton Lakes Municipal Utilities Authority for the fiscal year beginning, June 1, 2016 and, ending, May 31, 2017 is hereby adopted and shall constitute appropriations for the purposes stated; and

BE IT FURTHER RESOLVED, that the Annual Budget and Capital Budget/Program as presented for adoption reflects each item of revenue and appropriation in the same amount and title as set forth in the introduced and approved budget, including all amendments thereto, if any, which have been approved by the Director of the Division of Local Government Services.


(Secretary's Signature)

April 18, 2016
(Date)

Governing Body Member:	Recorded Vote			
	Aye	Nay	Abstain	Absent
DECKER	X			
DEMAYO	X			
CARRON	X			
BAUPTA	X			
LONGO	X			

2016 AUTHORITY BUDGET

Financial Schedules Section

2016 Budget Summary

Pompton Lakes Municipal Utilities Authority
For the Period June 1, 2016 to May 31, 2017

	Proposed Budget							Adopted Budget	\$ Increase (Decrease) Proposed vs. Adopted	% Increase (Decrease) Proposed vs. Adopted
	Water	Sewer	Operation #3	Operation #4	Operation #5	Operation #6	Total All Operations	Total All Operations	All Operations	All Operations
REVENUES										
Total Operating Revenues	\$ 783,000	\$ 2,117,000	\$ -	\$ -	\$ -	\$ -	\$ 2,900,000	\$ 2,833,909	\$ 66,091	2.3%
Total Non-Operating Revenues	172,500	98,500	-	-	-	-	271,000	281,000	(10,000)	-3.6%
Total Anticipated Revenues	955,500	2,215,500	-	-	-	-	3,171,000	3,114,909	56,091	1.8%
APPROPRIATIONS										
Total Administration	356,700	392,700	-	-	-	-	749,400	800,900	(51,500)	-6.4%
Total Cost of Providing Services	590,252	1,453,348	-	-	-	-	2,043,600	2,056,439	(12,839)	-0.6%
Total Principal Payments on Debt Service in Lieu of Depreciation	77,029	273,020	-	-	-	-	350,049	339,987	10,062	3.0%
Total Operating Appropriations	1,023,981	2,119,068	-	-	-	-	3,143,049	3,197,326	(54,277)	-1.7%
Total Interest Payments on Debt	13,519	96,432	-	-	-	-	109,951	106,806	3,145	2.9%
Total Other Non-Operating Appropriations	-	-	-	-	-	-	-	-	-	#DIV/0!
Total Non-Operating Appropriations	13,519	96,432	-	-	-	-	109,951	106,806	3,145	2.9%
Accumulated Deficit	-	-	-	-	-	-	-	-	-	#DIV/0!
Total Appropriations and Accumulated Deficit	1,037,500	2,215,500	-	-	-	-	3,253,000	3,304,132	(51,132)	-1.5%
Less: Total Unrestricted Net Position Utilized	82,000	-	-	-	-	-	82,000	189,223	(107,223)	-56.7%
Net Total Appropriations	955,500	2,215,500	-	-	-	-	3,171,000	3,114,909	56,091	1.8%
ANTICIPATED SURPLUS (DEFICIT)	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	#DIV/0!

2016 Revenue Schedule

Pompton Lakes Municipal Utilities Authority
For the Period June 1, 2016 to May 31, 2017

	Proposed Budget						Adopted Budget	\$ Increase (Decrease) Proposed vs. Adopted	% Increase (Decrease) Proposed vs. Adopted
	Water	Sewer	Operation #3	Operation #4	Operation #5	Operation #6	Total All Operations	Total All Operations	All Operations
OPERATING REVENUES									
<i>Service Charges</i>									
Residential	\$ 633,000	\$ 1,650,560					\$ 2,283,560	\$ 2,217,469	\$ 66,091 3.0%
Business/Commercial	42,000	325,312					367,312	367,312	- 0.0%
Industrial	48,500	89,700					138,200	138,200	- 0.0%
Intergovernmental	59,500	51,428					110,928	110,928	- 0.0%
Other							-	-	#DIV/0!
Total Service Charges	783,000	2,117,000	-	-	-	-	2,900,000	2,833,909	66,091 2.3%
<i>Connection Fees</i>									
Residential							-	-	#DIV/0!
Business/Commercial							-	-	#DIV/0!
Industrial							-	-	#DIV/0!
Intergovernmental							-	-	#DIV/0!
Other							-	-	#DIV/0!
Total Connection Fees	-	-	-	-	-	-	-	-	#DIV/0!
<i>Parking Fees</i>									
Meters							-	-	#DIV/0!
Permits							-	-	#DIV/0!
Fines/Penalties							-	-	#DIV/0!
Other							-	-	#DIV/0!
Total Parking Fees	-	-	-	-	-	-	-	-	#DIV/0!
<i>Other Operating Revenues (List)</i>									
Other Revenue 1							-	-	#DIV/0!
Other Revenue 2							-	-	#DIV/0!
Other Revenue 3							-	-	#DIV/0!
Other Revenue 4							-	-	#DIV/0!
Total Other Revenue	-	-	-	-	-	-	-	-	#DIV/0!
Total Operating Revenues	783,000	2,117,000	-	-	-	-	2,900,000	2,833,909	66,091 2.3%
NON-OPERATING REVENUES									
<i>Grants & Entitlements (List)</i>									
Grant #1							-	-	#DIV/0!
Grant #2							-	-	#DIV/0!
Grant #3							-	-	#DIV/0!
Grant #4							-	-	#DIV/0!
Total Grants & Entitlements	-	-	-	-	-	-	-	-	#DIV/0!
<i>Local Subsidies & Donations (List)</i>									
Local Subsidy #1							-	-	#DIV/0!
Local Subsidy #2							-	-	#DIV/0!
Local Subsidy #3							-	-	#DIV/0!
Local Subsidy #4							-	-	#DIV/0!
Total Local Subsidies & Donations	-	-	-	-	-	-	-	-	#DIV/0!
<i>Interest on Investments & Deposits</i>									
Investments	500	500					1,000	1,000	- 0.0%
Security Deposits							-	-	#DIV/0!
Penalties	10,000	10,000					20,000	20,000	- 0.0%
Other Investments							-	-	#DIV/0!
Total Interest	10,500	10,500	-	-	-	-	21,000	21,000	- 0.0%
<i>Other Non-Operating Revenues (List)</i>									
Miscellaneous Income	162,000	88,000					250,000	260,000	(10,000) -3.8%
Other Non-Operating #2							-	-	#DIV/0!
Other Non-Operating #3							-	-	#DIV/0!
Other Non-Operating #4							-	-	#DIV/0!
Other Non-Operating Revenues	162,000	88,000	-	-	-	-	250,000	260,000	(10,000) -3.8%
Total Non-Operating Revenues	172,500	98,500	-	-	-	-	271,000	281,000	(10,000) -3.6%
TOTAL ANTICIPATED REVENUES	\$ 955,500	\$ 2,215,500	\$ -	\$ -	\$ -	\$ -	\$ 3,171,000	\$ 3,114,909	\$ 56,091 1.8%

2015 Adopted Revenue Schedule

Pompton Lakes Municipal Utilities Authority

	Adopted Budget						
	Water	Sewer	Operation #3	Operation #4	Operation #5	Operation #6	Total All Operations
OPERATING REVENUES							
Service Charges							
Residential	\$ 625,000	\$ 1,592,469					\$ 2,217,469
Business/Commercial	42,000	325,312					367,312
Industrial	48,500	89,700					138,200
Intergovernmental	59,500	51,428					110,928
Other							-
Total Service Charges	775,000	2,058,909	-	-	-	-	2,833,909
Connection Fees							
Residential							-
Business/Commercial							-
Industrial							-
Intergovernmental							-
Other							-
Total Connection Fees	-	-	-	-	-	-	-
Parking Fees							
Meters							-
Permits							-
Fines/Penalties							-
Other							-
Total Parking Fees	-	-	-	-	-	-	-
Other Operating Revenues (List)							
Other Revenue 1							-
Other Revenue 2							-
Other Revenue 3							-
Other Revenue 4							-
Total Other Revenue	-	-	-	-	-	-	-
Total Operating Revenues	775,000	2,058,909	-	-	-	-	2,833,909
NON-OPERATING REVENUES							
Grants & Entitlements (List)							
Grant #1							-
Grant #2							-
Grant #3							-
Grant #4							-
Total Grants & Entitlements	-	-	-	-	-	-	-
Local Subsidies & Donations (List)							
Local Subsidy #1							-
Local Subsidy #2							-
Local Subsidy #3							-
Local Subsidy #4							-
Total Local Subsidies & Donations	-	-	-	-	-	-	-
Interest on Investments & Deposits							
Investments	500	500					1,000
Security Deposits							-
Penalties	10,000	10,000					20,000
Other Investments							-
Total Interest	10,500	10,500	-	-	-	-	21,000
Other Non-Operating Revenues (List)							
Miscellaneous Income	170,000	90,000					260,000
Other Non-Operating #2							-
Other Non-Operating #3							-
Other Non-Operating #4							-
Other Non-Operating Revenues	170,000	90,000	-	-	-	-	260,000
Total Non-Operating Revenues	180,500	100,500	-	-	-	-	281,000
TOTAL ANTICIPATED REVENUES	\$ 955,500	\$ 2,159,409	\$ -	\$ -	\$ -	\$ -	\$ 3,114,909

2016 Appropriations Schedule

Pompton Lakes Municipal Utilities Authority

For the Period June 1, 2016 to May 31, 2017

	Proposed Budget						Adopted Budget	\$ Increase (Decrease) Proposed vs. Adopted	% Increase (Decrease) Proposed vs. Adopted	
	Water	Sewer	Operation #3	Operation #4	Operation #5	Operation #6	Total All Operations	Total All Operations	All Operations	
OPERATING APPROPRIATIONS										
Administration - Personnel										
Salary & Wages	\$ 190,000	\$ 190,000					\$ 380,000	\$ 387,000	\$ (7,000)	-1.8%
Fringe Benefits	100,200	105,200					205,400	225,400	(20,000)	-8.9%
Total Administration - Personnel	290,200	295,200	-	-	-	-	585,400	612,400	(27,000)	-4.4%
Administration - Other (List)										
Other Admin Expense #1	66,500	97,500					164,000	188,500	(24,500)	-13.0%
Other Admin Expense #2							-	-	-	#DIV/0!
Other Admin Expense #3							-	-	-	#DIV/0!
Other Admin Expense #4							-	-	-	#DIV/0!
Miscellaneous Administration*							-	-	-	#DIV/0!
Total Administration - Other	66,500	97,500	-	-	-	-	164,000	188,500	(24,500)	-13.0%
Total Administration	356,700	392,700	-	-	-	-	749,400	800,900	(51,500)	-6.4%
Cost of Providing Services - Personnel										
Salary & Wages	240,000	525,000					765,000	735,000	30,000	4.1%
Fringe Benefits	130,252	238,348					368,600	414,100	(45,500)	-11.0%
Total COPS - Personnel	370,252	763,348	-	-	-	-	1,133,600	1,149,100	(15,500)	-1.3%
Cost of Providing Services - Other (List)										
Other COPS Expense #1	220,000	690,000					910,000	907,339	2,661	0.3%
Other COPS Expense #2							-	-	-	#DIV/0!
Other COPS Expense #3							-	-	-	#DIV/0!
Other COPS Expense #4							-	-	-	#DIV/0!
Miscellaneous COPS*							-	-	-	#DIV/0!
Total COPS - Other	220,000	690,000	-	-	-	-	910,000	907,339	2,661	0.3%
Total Cost of Providing Services	590,252	1,453,348	-	-	-	-	2,043,600	2,056,439	(12,839)	-0.6%
Total Principal Payments on Debt Service in Lieu of Depreciation	77,029	273,020	-	-	-	-	350,049	339,987	10,062	3.0%
Total Operating Appropriations	1,023,981	2,119,068	-	-	-	-	3,143,049	3,197,326	(54,277)	-1.7%
NON-OPERATING APPROPRIATIONS										
Total Interest Payments on Debt	13,519	96,432	-	-	-	-	109,951	106,806	3,145	2.9%
Operations & Maintenance Reserve							-	-	-	#DIV/0!
Renewal & Replacement Reserve							-	-	-	#DIV/0!
Municipality/County Appropriation							-	-	-	#DIV/0!
Other Reserves							-	-	-	#DIV/0!
Total Non-Operating Appropriations	13,519	96,432	-	-	-	-	109,951	106,806	3,145	2.9%
TOTAL APPROPRIATIONS	1,037,500	2,215,500	-	-	-	-	3,253,000	3,304,132	(51,132)	-1.5%
ACCUMULATED DEFICIT							-	-	-	#DIV/0!
TOTAL APPROPRIATIONS & ACCUMULATED DEFICIT	1,037,500	2,215,500	-	-	-	-	3,253,000	3,304,132	(51,132)	-1.5%
UNRESTRICTED NET POSITION UTILIZED										
Municipality/County Appropriation							-	-	-	#DIV/0!
Other	82,000						82,000	189,223	(107,223)	-56.7%
Total Unrestricted Net Position Utilized	82,000		-	-	-	-	82,000	189,223	(107,223)	-56.7%
TOTAL NET APPROPRIATIONS	\$ 955,500	\$ 2,215,500	\$ -	\$ -	\$ -	\$ -	\$ 3,171,000	\$ 3,114,909	\$ 56,091	1.8%

* Miscellaneous line items may not exceed 5% of total operating appropriations shown below. If amount in miscellaneous is greater than the amount shown below, then the line item must be itemized above.

5% of Total Operating Appropriations \$ 51,199.05 \$ 105,953.40 \$ - \$ - \$ - \$ - \$ 157,152.45

2015 Adopted Appropriations Schedule

Pompton Lakes Municipal Utilities Authority

	Adopted Budget						Total All Operations
	Water	Sewer	Operation #3	Operation #4	Operation #5	Operation #6	
OPERATING APPROPRIATIONS							
<i>Administration - Personnel</i>							
Salary & Wages	\$ 193,500	\$ 193,500					\$ 387,000
Fringe Benefits	112,700	112,700					225,400
Total Administration - Personnel	306,200	306,200	-	-	-	-	612,400
<i>Administration - Other (List)</i>							
Other Admin Expense #1	86,500	102,000					188,500
Other Admin Expense #2							-
Other Admin Expense #3							-
Other Admin Expense #4							-
Miscellaneous Administration*							-
Total Administration - Other	86,500	102,000	-	-	-	-	188,500
Total Administration	392,700	408,200	-	-	-	-	800,900
<i>Cost of Providing Services - Personnel</i>							
Salary & Wages	240,000	495,000					735,000
Fringe Benefits	201,800	212,300					414,100
Total COPS - Personnel	441,800	707,300	-	-	-	-	1,149,100
<i>Cost of Providing Services - Other (List)</i>							
Other COPS Expense #1	219,000	688,339					907,339
Other COPS Expense #2							-
Other COPS Expense #3							-
Other COPS Expense #4							-
Miscellaneous COPS*							-
Total COPS - Other	219,000	688,339	-	-	-	-	907,339
Total Cost of Providing Services	660,800	1,395,639	-	-	-	-	2,056,439
Total Principal Payments on Debt Service in Lieu of Depreciation	77,029	262,958	-	-	-	-	339,987
Total Operating Appropriations	1,130,529	2,066,797	-	-	-	-	3,197,326
NON-OPERATING APPROPRIATIONS							
Total Interest Payments on Debt	14,194	92,612	-	-	-	-	106,806
Operations & Maintenance Reserve							-
Renewal & Replacement Reserve							-
Municipality/County Appropriation							-
Other Reserves							-
Total Non-Operating Appropriations	14,194	92,612	-	-	-	-	106,806
TOTAL APPROPRIATIONS	1,144,723	2,159,409	-	-	-	-	3,304,132
ACCUMULATED DEFICIT							-
TOTAL APPROPRIATIONS & ACCUMULATED DEFICIT	1,144,723	2,159,409	-	-	-	-	3,304,132
UNRESTRICTED NET POSITION UTILIZED							
Municipality/County Appropriation	-	-	-	-	-	-	-
Other	189,223						189,223
Total Unrestricted Net Position Utilized	189,223	-	-	-	-	-	189,223
TOTAL NET APPROPRIATIONS	\$ 955,500	\$ 2,159,409	\$ -	\$ -	\$ -	\$ -	\$ 3,114,909

* Miscellaneous line items may not exceed 5% of total operating appropriations shown below. If amount in miscellaneous is greater than the amount shown below, then the line item must be itemized above.

5% of Total Operating Appropriations \$ 56,526.45 \$ 103,339.85 \$ - \$ - \$ - \$ - \$ 159,866.30

5 Year Debt Service Schedule - Principal

Pompton Lakes Municipal Utilities Authority

	Current Year (2015)	Fiscal Year Beginning In						Total Principal Outstanding
		2016	2017	2018	2019	2020	2021	
Water								
See Schedule F-6a								
Debt Issuance #2	\$ 77,029	\$ 77,029	\$ 77,029	\$ 77,029	\$ 77,029	\$ 77,029	\$ 82,029	\$ 773,260
Debt Issuance #3								\$ 1,240,434
Debt Issuance #4								
Total Principal	77,029	77,029	77,029	77,029	77,029	77,029	82,029	1,240,434
Sewer								
See Schedule F-6a								
Debt Issuance #2	262,958	273,020	293,096	303,173	318,277	328,382	133,496	1,349,664
Debt Issuance #3								2,999,108
Debt Issuance #4								
Total Principal	262,958	273,020	293,096	303,173	318,277	328,382	133,496	2,999,108
Operation #3								
Debt Issuance #1								
Debt Issuance #2								
Debt Issuance #3								
Debt Issuance #4								
Total Principal								
Operation #4								
Debt Issuance #1								
Debt Issuance #2								
Debt Issuance #3								
Debt Issuance #4								
Total Principal								
Operation #5								
Debt Issuance #1								
Debt Issuance #2								
Debt Issuance #3								
Debt Issuance #4								
Total Principal								
Operation #6								
Debt Issuance #1								
Debt Issuance #2								
Debt Issuance #3								
Debt Issuance #4								
Total Principal								
TOTAL PRINCIPAL ALL OPERATIONS	\$ 339,987	\$ 350,049	\$ 370,125	\$ 380,202	\$ 395,306	\$ 405,411	\$ 215,525	\$ 2,122,924
								\$ 4,239,542

Indicate the Authority's most recent bond rating and the year of the rating by ratings service.

	Moody's	Fitch	Standard & Poor's
Bond Rating	N/A	N/A	N/A
Year of Last Rating			

5 Year Debt Service Schedule - Principal

Pompton Lakes Municipal Utilities Authority

Fiscal Year Beginning in

	Current Year (2015)	2016	2017	2018	2019	2020	2021	Thereafter	Total Principal Outstanding
Water									
NJEIT 2012A Fund	\$ 15,000	\$ 15,000	\$ 15,000	\$ 15,000	\$ 15,000	\$ 15,000	\$ 20,000	\$ 215,000	\$ 310,000
NJEIT 2012A Trust	62,029	62,029	62,029	62,029	62,029	62,029	62,029	558,260	930,434
Total Principal	77,029	77,029	77,029	77,029	77,029	77,029	82,029	773,260	1,240,434
Sewer									
Series 1998	\$ 155,000	\$ 165,000	\$ 170,000	\$ 175,000	\$ 190,000	\$ 195,000	\$ -	\$ -	\$ 895,000
NJEIT 2009A Fund	37,730	37,730	37,730	37,730	37,730	37,730	37,730	289,266	515,646
NJEIT 2009A Trust	30,000	30,000	35,000	35,000	35,000	40,000	40,000	380,000	595,000
NJEIT 2009B Fund	10,747	10,747	10,747	10,747	10,747	10,747	10,747	82,393	146,875
NJEIT 2009B Trust	3,208	3,270	3,346	3,423	3,527	3,632	3,746	35,305	56,249
NJEIT 2010B Trust	15,000	15,000	15,000	20,000	20,000	20,000	20,000	245,000	355,000
NJEIT Fall 2010	11,273	11,273	11,273	11,273	11,273	11,273	11,273	97,700	165,338
NJEIT 2016A	-	-	10,000	10,000	10,000	10,000	10,000	220,000	270,000
NJEIT 2016A	-	-	-	-	-	-	-	-	-
Total Principal	262,958	273,020	293,096	303,173	318,277	328,382	133,496	1,349,664	2,999,108
TOTAL PRINCIPAL ALL OPERATIONS	\$ 339,987	\$ 350,049	\$ 370,125	\$ 380,202	\$ 395,306	\$ 405,411	\$ 215,525	\$ 2,122,924	\$ 4,239,542

5 Year Debt Service Schedule - Interest

Pompton Lakes Municipal Utilities Authority

	Current Year (2015)	Fiscal Year Beginning in							Total Interest Payments Outstanding
		2016	2017	2018	2019	2020	2021	Thereafter	
Water									
See Schedule F-7a	\$ 14,194	\$ 13,519	\$ 12,769	\$ 12,019	\$ 11,289	\$ 10,519	\$ 9,644	\$ 43,003	\$ 112,762
Debt Issuance #2	-	-	-	-	-	-	-	-	-
Debt Issuance #3	-	-	-	-	-	-	-	-	-
Debt Issuance #4	-	-	-	-	-	-	-	-	-
Total Interest Payments	14,194	13,519	12,769	12,019	11,289	10,519	9,644	43,003	112,762
Sewer									
See Schedule F-7a	92,612	96,432	80,968	70,451	59,731	48,381	36,676	177,958	570,597
Debt Issuance #2	-	-	-	-	-	-	-	-	-
Debt Issuance #3	-	-	-	-	-	-	-	-	-
Debt Issuance #4	-	-	-	-	-	-	-	-	-
Total Interest Payments	92,612	96,432	80,968	70,451	59,731	48,381	36,676	177,958	570,597
Operation #3									
Debt Issuance #1	-	-	-	-	-	-	-	-	-
Debt Issuance #2	-	-	-	-	-	-	-	-	-
Debt Issuance #3	-	-	-	-	-	-	-	-	-
Debt Issuance #4	-	-	-	-	-	-	-	-	-
Total Interest Payments	-	-	-	-	-	-	-	-	-
Operation #4									
Debt Issuance #1	-	-	-	-	-	-	-	-	-
Debt Issuance #2	-	-	-	-	-	-	-	-	-
Debt Issuance #3	-	-	-	-	-	-	-	-	-
Debt Issuance #4	-	-	-	-	-	-	-	-	-
Total Interest Payments	-	-	-	-	-	-	-	-	-
Operation #5									
Debt Issuance #1	-	-	-	-	-	-	-	-	-
Debt Issuance #2	-	-	-	-	-	-	-	-	-
Debt Issuance #3	-	-	-	-	-	-	-	-	-
Debt Issuance #4	-	-	-	-	-	-	-	-	-
Total Interest Payments	-	-	-	-	-	-	-	-	-
Operation #6									
Debt Issuance #1	-	-	-	-	-	-	-	-	-
Debt Issuance #2	-	-	-	-	-	-	-	-	-
Debt Issuance #3	-	-	-	-	-	-	-	-	-
Debt Issuance #4	-	-	-	-	-	-	-	-	-
Total Interest Payments	-	-	-	-	-	-	-	-	-
TOTAL INTEREST ALL OPERATIONS	\$ 106,806	\$ 109,951	\$ 93,737	\$ 82,470	\$ 71,020	\$ 58,900	\$ 46,320	\$ 220,961	\$ 683,359

5 Year Debt Service Schedule - Interest

Pompton Lakes Municipal Utilities Authority

		Fiscal Year Beginning in							Total Interest
	Current Year (2015)	2016	2017	2018	2019	2020	2021	Thereafter	Payments Outstanding
Water									
NJEIT 2012A Trust	\$ 14,194	\$ 13,519	\$ 12,769	\$ 12,019	\$ 11,289	\$ 10,519	\$ 9,644	\$ 43,003	\$ 112,762
Total Interest	14,194	13,519	12,769	12,019	11,289	10,519	9,644	43,003	112,762
Sewer									
Series 1998	\$ 47,250	\$ 40,275	\$ 32,850	\$ 25,200	\$ 17,325	\$ 8,775	\$ -	\$ -	\$ 124,425
NJEIT 2009A Trust	25,238	23,738	22,113	20,363	18,788	17,288	15,688	63,306	181,284
NJEIT 2009B Trust	1,999	1,929	1,849	1,758	1,658	1,549	1,430	5,921	16,094
NJEIT 2010B Trust	18,125	17,375	16,625	15,750	14,750	13,750	12,750	59,625	150,625
NJEIT 2016A Trust	-	13,115	7,531	7,380	7,210	7,019	6,808	49,106	98,169
Total Interest	92,612	96,432	80,968	70,451	59,731	48,381	36,676	177,958	570,597
TOTAL INTEREST ALL OPERATIONS	\$ 106,806	\$ 109,951	\$ 93,737	\$ 82,470	\$ 71,020	\$ 58,900	\$ 46,320	\$ 220,961	\$ 683,359

2016 Net Position Reconciliation

Pompton Lakes Municipal Utilities Authority

For the Period

June 1, 2016

to

May 31, 2017

	Proposed Budget						Total All Operations
	Water	Sewer	Operation #3	Operation #4	Operation #5	Operation #6	
TOTAL NET POSITION BEGINNING OF CURRENT YEAR (1)	\$ 4,485,548	\$ 6,728,322					\$ 11,213,870
Less: Invested in Capital Assets, Net of Related Debt (1)	3,606,522	5,034,784					8,641,306
Less: Restricted for Debt Service Reserve (1)		207,325					207,325
Less: Other Restricted Net Position (1)	267,943	596,392					864,335
Total Unrestricted Net Position (1)	611,083	889,821	-	-	-	-	1,500,904
Less: Designated for Non-Operating Improvements & Repairs							-
Less: Designated for Rate Stabilization							-
Less: Other Designated by Resolution	126,360	224,640					351,000
Plus: Accrued Unfunded Pension Liability (1)							-
Plus: Accrued Unfunded Other Post-Employment Benefit Liability (1)							-
Plus: Estimated Income (Loss) on Current Year Operations (2)	(34,000)	(351,000)					(385,000)
Plus: Other Adjustments (attach schedule)							-
UNRESTRICTED NET POSITION AVAILABLE FOR USE IN PROPOSED BUDGET	450,723	314,181	-	-	-	-	764,904
Unrestricted Net Position Utilized to Balance Proposed Budget	82,000	-	-	-	-	-	82,000
Unrestricted Net Position Utilized in Proposed Capital Budget	315,000	245,000	-	-	-	-	560,000
Appropriation to Municipality/County (3)	-	-	-	-	-	-	-
Total Unrestricted Net Position Utilized in Proposed Budget	397,000	245,000	-	-	-	-	642,000
PROJECTED UNRESTRICTED UNDESIGNATED NET POSITION AT END OF YEAR (4)	\$ 53,723	\$ 69,181	\$ -	\$ -	\$ -	\$ -	\$ 122,904

(1) Total of all operations for this line item must agree to audited financial statements.

(2) Include budgeted and unbudgeted use of unrestricted net position in the current year's operations.

(3) Amount may not exceed 5% of total operating appropriations. See calculation below.

Maximum Allowable Appropriation to Municipality/County \$ 51,199 \$ 105,953 \$ - \$ - \$ - \$ - \$ 157,152

(4) If Authority is projecting a deficit for any operation at the end of the budget period, the Authority must attach a statement explaining its plan to reduce the deficit, including the timeline for elimination of the deficit, if not already detailed in the budget narrative section.

2016 AUTHORITY BUDGET

Narrative and Information Section

2016 AUTHORITY BUDGET MESSAGE & ANALYSIS POMPTON LAKES MUNICIPAL UTILITIES AUTHORITY BUDGET

FISCAL YEAR: FROM: JUNE 1, 2016 TO: MAY 31, 2017

Answer all questions below. Attach additional pages and schedules as needed.

1. Complete a brief statement on the 2016 proposed Annual Budget and make comparison to the 2015 adopted budget for each operation. Explain any variances over +/-10% for each line item by operation. Explanations of variances should include a description of the reason for the increase/decrease in the budgeted line item, not just an indication of the amount and percent of the change. Attach any supporting documentation that will help to explain the reason for the increase/decrease in the budgeted line item. For example, if anticipated service charges have increased 15% due to an increase in rates, provide a copy of the resolution authorizing the rate increase.

Other Administration decreased 13.0% as a result of additional computer maintenance and water and sewer billing software costs incurred budgeted for 2015.

Fringe benefits decreased 11.0% as a result of less being budgeted for deposit into the authority's reserve for employee benefits.

2. Complete a brief statement on the impact the proposed Annual Budget will have on Anticipated Revenues, especially service charges and on the general purpose/component unit financial statements. Explain significant increases or decreases, if any. An increase or decrease is considered significant if it is over +/-10% from the current year adopted budget.

No increase in service charges is anticipated.

3. Describe the state of the local/regional economy and how it may impact the proposed Annual Budget, including the planned Capital Budget/Program.

The Authority is anticipating slower growth in the local/regional economy.

4. Describe the reasons for utilizing Unrestricted Net Position in the proposed Annual Budget, i.e. rate stabilization, debt service reduction, to balance the budget, etc. If the Authority's budget anticipates a use of Unrestricted Net Position, this question must be answered.

The Authority is utilizing \$82,000 in its water operating budget to maintain rates at its current level.

In addition, it is utilizing \$315,000 and \$245,000 in its water and sewer capital budget, respectively. This is being done to avoid the issuance costs of project notes and revenue bonds.

5. Identify any sources of funds transferred to the County/Municipality as a budget subsidy or a shared service and explain the reason for the transfer (i.e.: to balance the County/Municipality budget, etc.). N/A
6. The proposed budget must not reflect an anticipated deficit from 2016 operations. If there exists an accumulated deficit from prior years' budgets (and funding is included in the proposed budget as a result of a prior deficit) explain the funding plan to eliminate said deficit (N.J.S.A. 40A:5A-12). If the Authority has a net deficit reported in its most recent audit, it must provide a deficit reduction plan in response to this question. N/A
7. Attach a schedule of the Authority's existing rate structure (connection fees, parking fees, service charges, etc.) and a schedule of the proposed rate structure for the upcoming fiscal year. Explain any proposed changes in the rate structure and attach the resolution approving the change in the rate structure, if applicable. See Attached
8. Attach a copy of the Authority's most recent Annual Operating Data submission to the Municipal Securities Rulemaking Board's Electronic Municipal Marketplace Access (EMMA) under the Authority's Continuing Disclosure Agreements for any debt issuances outstanding. Examples of Annual Operating Data may include sewer and water billings; parking rents and collections; number of customers; number of available parking spaces; etc. See Local Finance Notice 2014-9 for more information. N/A

POMPTON LAKES BOROUGH MUNICIPAL UTILITIES AUTHORITY
2000 LINCOLN AVENUE
POMPTON LAKES, NEW JERSEY 07442
TELEPHONE (973) 839-3044
FAX (973) 616-0434

WATER SERVICE CHARGES					
\$17.00 FOR THE FIRST 8,000 GALLONS					
8,000 to 24,000 Gallons		25,000 to 39,000 Gallons		40,000 Gallons and Above	
\$1.60 per Thousand Gallons		\$1.75 per Thousand Gallons		\$2.00 per Thousand Gallons	
GALLONS	COST	GALLONS	COST	GALLONS	COST
9000	\$18.60	25000	\$44.35	40000	\$70.85
10000	\$20.20	26000	\$46.10	41000	\$72.85
11000	\$21.80	27000	\$47.85	42000	\$74.85
12000	\$23.40	28000	\$49.60	43000	\$76.85
13000	\$25.00	29000	\$51.35	44000	\$78.85
14000	\$26.60	30000	\$53.10	45000	\$80.85
15000	\$28.20	31000	\$54.85	46000	\$82.85
16000	\$29.80	32000	\$56.60	47000	\$84.85
17000	\$31.40	33000	\$58.35	48000	\$86.85
18000	\$33.00	34000	\$60.10	49000	\$88.85
19000	\$34.60	35000	\$61.85	50000	\$90.85
20000	\$36.20	36000	\$63.60	51000	\$92.85
21000	\$37.80	37000	\$65.35	52000	\$94.85
22000	\$39.40	38000	\$67.10	53000	\$96.85
23000	\$41.00	39000	\$68.85	54000	\$98.85
24000	\$42.60			55000	\$100.85
CONNECTION AND DISCONNECTION - \$25.00					
METER DEPOSITS - RESIDENTIAL \$135.00 - COMMERCIAL \$270.00					
DELINQUENT TURN OFF - \$25.00					
SEWER SERVICE CHARGES					
Type of Property or Class of User			Quarterly Charge		
Single Family Dwelling			\$118.00		
Two or More Bedroom Apartment			\$118.00		
One Bedroom Apartment			\$106.00		
Rooming House - Each Rental Bedroom			\$53.00		
Commercial, Professional, or Industrial Establishments Employing Less than 100 Persons			\$118.00		
Churches, Schools, and Fraternal Organizations			\$118.00		
Theatres, Publicly-Owned Buildings, and All Others Not Specified Above			To Be Negotiated		
WITH GARBAGE DISPOSAL - \$123.75					

AUTHORITY CONTACT INFORMATION

2016

Please complete the following information regarding this Authority. All information requested below must be completed.

Name of Authority:	POMPTON LAKES MUNICIPAL UTILITIES AUTHORITY		
Address:	2000 LINCOLN AVENUE		
City, State, Zip:	POMPTON LAKES	NJ	07442
Phone: (ext.)	973-839-3044	Fax:	973-616-0434

Preparer's Name:	PAUL J. CUVA (1)		
Preparer's Address:	401 WANAQUE AVENUE		
City, State, Zip:	POMPTON LAKES	NJ	07442
Phone: (ext.)	973-835-7900	Fax:	973-835-6631
E-mail:	fwcc@optonline.com		

Chief Executive Officer:	GEORGE D. DECKER		
Phone: (ext.)	973-839-3044	Fax:	973-616-0434
E-mail:	GDECKER@PLBMUA.ORG		

Chief Financial Officer:	KEVIN P. CARROLL		
Phone: (ext.)	973-839-3044	Fax:	973-616-0434
E-mail:			

Name of Auditor:	PAUL J. CUVA		
Name of Firm:	FERRAIOLI, WIELKOTZ, CERULLO & CUVA, P.A.		
Address:	401 WANAQUE AVENUE		
City, State, Zip:	POMPTON LAKES	NJ	07442
Phone: (ext.)	973-835-7900	Fax:	973-835-6631
E-mail:	FWCC@OPTONLINE.NET		

- (1) THIS BUDGET WAS PREPARED FROM INFORMATION PROVIDED TO ME BY THE AUTHORITY.

AUTHORITY INFORMATIONAL QUESTIONNAIRE

POMPTON LAKES MUNICIPAL UTILITIES AUTHORITY

FISCAL YEAR: FROM: JUNE 1, 2016 TO: MAY 31, 2017

Answer all questions below completely and attach additional information as required.

- 1) Provide the number of individuals employed in calendar year 2015 as reported on the Authority's Form W-3, Transmittal of Wage and Tax Statements: 24
- 2) Provide the amount of total salaries and wages for calendar year 2015 as reported on the Authority's Form W-3, Transmittal of Wage and Tax Statements: \$1,033,070.51
- 3) Provide the number of regular voting members of the governing body: 5
- 4) Provide the number of alternate voting members of the governing body: 0
- 5) Did any person listed on Page N-4 have a family or business relationship with any other person listed on Page N-4 during the current fiscal year? NO If "yes," attach a description of the relationship including the names of the individuals involved and their positions at the Authority.
- 6) Did all individuals that were required to file a Financial Disclosure Statement for the current fiscal year because of their relationship with the Authority file the form as required? YES If "no," provide a list of those individuals who failed to file a Financial Disclosure Statement and an explanation as to the reason for their failure to file.
- 7) Does the Authority have any amounts receivable from current or former commissioners, officers, key employees or highest compensated employees? NO If "yes," attach a list of those individuals, their position, the amount receivable, and a description of the amount due to the Authority.
- 8) Was the Authority a party to a business transaction with one of the following parties:
 - a. A current or former commissioner, officer, key employee, or highest compensated employee? NO
 - b. A family member of a current or former commissioner, officer, key employee, or highest compensated employee? NO
 - c. An entity of which a current or former commissioner, officer, key employee, or highest compensated employee (or family member thereof) was an officer or direct or indirect owner? NOIf the answer to any of the above is "yes," attach a description of the transaction including the name of the commissioner, officer, key employee, or highest compensated employee (or family member thereof) of the Authority; the name of the entity and relationship to the individual or family member; the amount paid; and whether the transaction was subject to a competitive bid process.
- 9) Did the Authority during the most recent fiscal year pay premiums, directly or indirectly, on a personal benefit contract? A personal benefit contract is generally any life insurance, annuity, or endowment contract that benefits, directly or indirectly, the transferor, a member of the transferor's family, or any other person designated by the transferor. NO If "yes," attach a description of the arrangement, the premiums paid, and indicate the beneficiary of the contract.
- 10) Explain the Authority's process for determining compensation for all persons listed on Page N-4. Include whether the Authority's process includes any of the following: 1) review and approval by the commissioners or a committee thereof; 2) study or survey of compensation data for comparable positions in similarly sized entities; 3) annual or periodic performance evaluation; 4) independent compensation consultant; and/or 5) written employment contract. *Attach narrative.*
- 11) Did the Authority pay for meals or catering during the current fiscal year? NO If "yes," attach a detailed list of all meals and/or catering invoices for the current fiscal year and provide an explanation for each expenditure listed.
- 12) Did the Authority pay for travel expenses for any employee or individual listed on Page N-4? YES If "yes," attach a detailed list of all travel expenses for the current fiscal year and provide an explanation for each expenditure listed.

**AUTHORITY INFORMATIONAL QUESTIONNAIRE
(CONTINUED)
POMPTON LAKES MUNICIPAL
UTILITIES AUTHORITY**

FISCAL YEAR: FROM: JUNE 1, 2016 TO: MAY 31, 2017

- 13) Did the Authority provide any of the following to or for a person listed on Page N-4 or any other employee of the Authority:
- a. First class or charter travel NO
 - b. Travel for companions NO
 - c. Tax indemnification and gross-up payments NO
 - d. Discretionary spending account NO
 - e. Housing allowance or residence for personal use NO
 - f. Payments for business use of personal residence NO
 - g. Vehicle/auto allowance or vehicle for personal use NO
 - h. Health or social club dues or initiation fees NO
 - i. Personal services (i.e.: maid, chauffeur, chef) NO
- If the answer to any of the above is "yes," attach a description of the transaction including the name and position of the individual and the amount expended.*
- 14) Did the Authority follow a written policy regarding payment or reimbursement for expenses incurred by employees and/or commissioners during the course of Authority business and does that policy require substantiation of expenses through receipts or invoices prior to reimbursement? YES *If "no," attach an explanation of the Authority's process for reimbursing employees and commissioners for expenses.*
- 15) Did the Authority make any payments to current or former commissioners or employees for severance or termination? NO *If "yes," attach explanation including amount paid.*
- 16) Did the Authority make any payments to current or former commissioners or employees that were contingent upon the performance of the Authority or that were considered discretionary bonuses? NO *If "yes," attach explanation including amount paid.*
- 17) Did the Authority comply with its Continuing Disclosure Agreements for all debt issuances outstanding by submitting its audited annual financial statements, annual operating data, and notice of material events to the Municipal Securities Rulemaking Board's Electronic Municipal Marketplace Access (EMMA) as required? YES *If "no," attach a description of the Authority's plan to ensure compliance with its Continuing Disclosure Agreements in the future.*
- 18) Did the Authority receive any notices from the Department of Environmental Protection or any other entity regarding maintenance or repairs required to the Authority's systems to bring them into compliance with current regulations and standards that it has not yet taken action to remediate? NO *If "yes," attach explanation as to why the Authority has not yet undertaken the required maintenance or repairs and describe the Authority's plan to address the conditions identified.*
- 19) Did the Authority receive any notices of fines or assessments from the Department of Environmental Protection or any other entity due to noncompliance with current regulations (i.e.: sewer overflow, etc.)? NO *If "yes," attach a description of the event or condition that resulted in the fine or assessment and indicate the amount of the fine or assessment.*

N-3a

- #10. The Authority process includes the following:
1. Review and approval by the commissioners or a committee thereof
 2. Study or survey of compensation data for comparable positions in similarly sized entities.
 3. Annual or periodic performance evaluation
- #11. None
- #12. New Jersey Water Association Conference – October 2014 - \$1,372.49
New Jersey League of Municipalities – November 2014 - \$800.94

**AUTHORITY SCHEDULE OF COMMISSIONERS, OFFICERS, KEY EMPLOYEES,
HIGHEST COMPENSATED EMPLOYEES AND INDEPENDENT CONTRACTORS**

**POMPTON LAKES MUNICIPAL
UTILITIES AUTHORITY**

FISCAL YEAR: FROM: JUNE 1, 2016 TO: MAY 31, 2017

Complete the attached table for all persons required to be listed per #1-4 below.

- 1) List all of the Authority's current commissioners and officers and amount of compensation from the Authority and any other public entities as defined below. Enter zero if no compensation was paid.
- 2) List all of the Authority's key employees and highest compensated employees other than a commissioner or officer as defined below and amount of compensation from the Authority and any other public entities.
- 3) List all of the Authority's former officers, key employees and highest compensated employees who received more than \$100,000 in reportable compensation from the Authority and any other public entities during the most recent fiscal year completed.
- 4) List all of the Authority's former commissioners who received more than \$10,000 in reportable compensation from the Authority and any other public entities during the most recent fiscal year completed.

Commissioner: A member of the governing body of the authority with voting rights. Include alternates for purposes of this schedule.

Officer: A person elected or appointed to manage the authority's daily operations at any time during the year, such as the chairperson, vice-chairperson, secretary, or treasurer. For the purposes of this schedule, treat the authority's top management official and top financial official as officers. A member of the governing body may be both a commissioner and an officer for the purposes of this schedule.

Key employee: An employee or independent contractor of the authority (other than a commissioner or officer) who meets both of the following criteria:

- a) The individual received reportable compensation from the authority and all related entities in excess of \$150,000 for the most recent fiscal year completed; and
- b) The individual has responsibilities or influence over the authority as a whole or has power to control or determine 10% or more of the authority's capital expenditures or operating budget.

Highest compensated employee: One of the five highest compensated employees or independent contractors of the authority other than current commissioners, officers, or key employees whose aggregate reportable compensation from the authority and related entities is greater than \$100,000 for the most recent fiscal year completed.

Compensation: All forms of cash and non-cash payments or benefits provided in exchange for services, including salaries and wages, bonuses, severance payments, deferred payments, retirement benefits, fringe benefits, and other financial arrangements or transactions such as personal vehicles, meals, housing, personal and family education benefits, below-market loans, payment of personal or family travel, entertainment, and personal use of the Authority's property. Compensation includes payments and other benefits provided to both employees and independent contractors in exchange for services.

Reportable compensation: The aggregate compensation that is reported (or is required to be reported) on Form W-2, box 1 or 5, whichever amount is greater, and/or Form 1099-MISC, box 7, for the most recent calendar year ended 60 days before the start of the proposed budget year. For example, for fiscal years ending December 31, 2015, the calendar year 2013 W-2 and 1099 should be used (60 days prior to start of budget year is November 1, 2014, with 2013 being the most recent calendar year ended), and for fiscal years ending June 30, 2016, the calendar year 2014 W-2 and 1099 should be used (60 days prior to start of budget year is May 1, 2015, with 2014 being the most recent calendar year ended).

Other Public Entity: Any municipality, county, local authority, fire district, or other government unit, regardless of whether it is related in any way to the Authority either by function or by physical location.

Authority Schedule of Commissioners, Officers, Key Employees, Highest Compensated Employees and Independent Contractors (Continued)

For the Period June 1, 2016 to May 31, 2017
Pompton Lakes Municipal Utilities Authority

Name	Title	Average Hours per Week Dedicated to Position	Position				Reportable Compensation from Authority (W-2/ 1099)				Total Compensation from Authority (health benefits, pension, etc.)	Estimated amount of other compensation from Authority (health benefits, pension, etc.)	Other (auto allowance, expense account, payment in lieu of health benefits, etc.)	Base Salary/ Stipend	Bonus	Total Compensation from Authority (health benefits, pension, etc.)	Names of Other Public Entities where Individual is an Employee or Member of the Governing Body	Positions held at Other Public Entities Listed in Column O	Average Hours per Week Dedicated to Positions at Other Public Entities Listed in Column O	Reportable Compensation from Other Public Entities (W-2/ 1099)	Estimated amount of other compensation from Other Public Entities (health benefits, pension, payment in lieu of health benefits, etc.)	Total Compensation All Public Entities
			Commissioner	Officer	Key Employee	Highest Compensated Employee	Former															
1 Decker, G.	Chairman	15	X																			
2 Caroli, K.	Commissioner	1	X																			
3 DeMalo, L.	Commissioner	1	X																			
4 Galletta, N.	Commissioner	1	X																			
5 Longo, M.	Commissioner	1	X																			
6 Wegele, J.	Oper. Manager	40			X																	
7																						
8																						
9																						
10																						
11																						
12																						
13																						
14																						
15																						
Total:																						
										\$ 215,360	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ 6,000	\$ -	\$ 221,360

Enter the total number of employees/ independent contractors who received more than \$100,000 in total reportable compensation for the most recent fiscal year completed:

Schedule of Health Benefits - Detailed Cost Analysis

Pompton Lakes Municipal Utilities Authority

For the Period

June 1, 2016

to

May 31, 2017

	# of Covered Members (Medical & Rx) Proposed Budget	Annual Cost Estimate per Employee Proposed Budget	Total Cost Estimate Proposed Budget	# of Covered Members (Medical & Rx) Current Year	Annual Cost per Employee Current Year	Total Current Year Cost	\$ Increase (Decrease)	% Increase (Decrease)
Active Employees - Health Benefits - Annual Cost								
Single Coverage	6	\$ 11,800	\$ 70,800	6	\$ 10,700	\$ 64,200	\$ 6,600	10.3%
Parent & Child			-			-	-	#DIV/0!
Employee & Spouse (or Partner)			-			-	-	#DIV/0!
Family	8	32,831	262,648	8	29,846	238,768	23,880	10.0%
Employee Cost Sharing Contribution (enter as negative -)			(53,979)			(36,818)	(17,161)	46.6%
Subtotal	14		279,469	14		266,150	13,319	5.0%
Commissioners - Health Benefits - Annual Cost								
Single Coverage			-			-	-	#DIV/0!
Parent & Child			-			-	-	#DIV/0!
Employee & Spouse (or Partner)			-			-	-	#DIV/0!
Family			-			-	-	#DIV/0!
Employee Cost Sharing Contribution (enter as negative -)			-			-	-	#DIV/0!
Subtotal	0		-	0		-	-	#DIV/0!
Retirees - Health Benefits - Annual Cost								
Single Coverage	1	17,018	17,018	1	15,471	15,471	1,547	10.0%
Parent & Child			-			-	-	#DIV/0!
Employee & Spouse (or Partner)	3	14,288	42,864	3	12,989	38,967	3,897	10.0%
Family			-			-	-	#DIV/0!
Employee Cost Sharing Contribution (enter as negative -)			(2,360)			(3,000)	640	-21.3%
Subtotal	4		57,522	4		51,438	6,084	11.8%
GRAND TOTAL	18		\$ 336,991	18		\$ 317,588	\$ 19,403	6.1%

Is medical coverage provided by the SHBP (Yes or No)?

YES

Is prescription drug coverage provided by the SHBP (Yes or No)?

YES

Schedule of Accumulated Liability for Compensated Absences

Pompton Lakes Municipal Utilities Authority

For the Period

June 1, 2016

to

May 31, 2017

Complete the below table for the Authority's accrued liability for compensated absences.

Legal Basis for Benefit
(check applicable items)

Individuals Eligible for Benefit	Gross Days of Accumulated Compensated Absences at beginning of Current Year	Dollar Value of Accrued Compensated Absence Liability	Approved Labor Agreement	Resolution	Individual Employment Agreement
See Attached Schedule N-6a		\$ 28,983			X
Total liability for accumulated compensated absences at beginning of current year		\$ 28,983			

Schedule of Accumulated Liability for Compensated Absences

Pompton Lakes Municipal Utilities Authority
For the Period June 1, 2016

to May 31, 2017

Complete the below table for the Authority's accrued liability for compensated absences.

Legal Basis for Benefit (check applicable items)

Individuals Eligible for Benefit	Gross Days of Accumulated Compensated Absences at beginning of Current Year	Dollar Value of Accrued Compensated Absence Liability	Approved Labor Agreement	Resolution	Individual Employment Agreement
Brown, C.	22	\$ 2,002			x
Devore, C.	15	1,790			x
Doty, B.	17.5	2,092			x
Elk, G.	16.5	1,255			x
Jennings, K.	22	1,925			x
Pietrowski, J.	12	920			x
Primavera, M.	7	513			x
Toledo, D.	18	1,377			x
Wegele, J.	30	7,495			x
Williams, T.	14	990			x
Woll, M.	10	495			x
Woll, W.	35	3,847			x
Shortway, K.	19.5	3,199			x
Scanlan, M.	13	1,083			x
Total liability for accumulated compensated absences at beginning of current year		\$ 28,983			

Schedule of Shared Service Agreements

Pompton Lakes Municipal Utilities Authority

For the Period June 1, 2016 to May 31, 2017

Enter the shared service agreements that the Authority currently engages in and identify the amount that is received/paid for those services.

[illegible]

2016

POMPTON LAKES
MUNICIPAL
UTILITIES
AUTHORITY
CAPITAL
BUDGET/
PROGRAM

2016 CERTIFICATION OF AUTHORITY CAPITAL BUDGET/PROGRAM

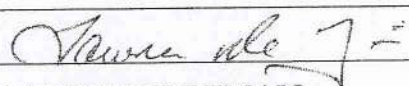
POMPTON LAKES MUNICIPAL UTILITIES AUTHORITY

FISCAL YEAR: FROM: JUNE 1, 2016 TO: MAY 31, 2017

☒ It is hereby certified that the Authority Capital Budget/Program annexed hereto is a true copy of the Capital Budget/Program approved, pursuant to N.J.A.C. 5:31-2.2, along with the Annual Budget, by the governing body of the Pompton Lakes Municipal Utilities Authority, on the 21st day of March, 2016.

OR

☐ It is hereby certified that the governing body of the Pompton Lakes Municipal Utilities Authority have elected **NOT** to adopt a Capital Budget /Program for the aforesaid fiscal year, pursuant to N.J.A.C. 5:31-2.2 for the following reason(s):

Officer's Signature:			
Name:	LAWRENCE DEMAIO		
Title:	SECRETARY		
Address:	2000 LINCOLN AVENUE POMPTON LAKES, NJ 07442		
Phone Number:	973-839-3044	Fax Number:	973-616-0434
E-mail address	gdecker@plbmua.org		

2016 CAPITAL BUDGET/PROGRAM MESSAGE

Pompton Lakes Municipal Utilities Authority

FISCAL YEAR: FROM: JUNE 1, 2016 TO: MAY 31, 2017

1. Has each municipality or county affected by the actions of the authority participated in the development of the capital plan and reviewed or approved the plans or projects included within the Capital Budget/Program?

Yes, where applicable.

2. Has each capital project/project financing been developed from a specific capital improvement plan or report; does it include full lifecycle costs; and is it consistent with appropriate elements of Master Plans or other plans in the jurisdiction(s) served by the authority?

A verbal plan has been established.

3. Has a long-term (10-20 years) infrastructure needs assessment or other capital plan with a horizon beyond six years been prepared?

Yes

4. Describe the projected impact of the proposed capital projects, including impact on the schedule of rates, fees, and service charges and the impact on current and future year's schedules.

Rates may have to be increased in the future to support any new debt service.

5. Please indicate which capital projects/project financings are being undertaken in the Metropolitan or Suburban Planning Areas as defined in the State Development and Redevelopment Plan.

None

6. Please indicate which capital projects/project financings are being undertaken within the boundary of a State Planning Commission-designated Center and/or Endorsed Plan and if the project was included in the Plan Implementation Agenda for that Center/Endorsed Plan.

None

Add additional sheets if necessary.

2016 Proposed Capital Budget

Pompton Lakes Municipal Utilities Authority
For the Period June 1, 2016 to May 31, 2017

	Estimated Total Cost	Funding Sources				
		Unrestricted Net Position Utilized	Renewal & Replacement Reserve	Debt Authorization	Capital Grants	Other Sources
Water						
See Schedule CB-3a	\$ 315,000	\$ 315,000				
Project B Description	-					
Project C Description	-					
Project D Description	-					
Total	315,000	315,000	-	-	-	-
Sewer						
See Schedule CB-3a	245,000	245,000				
Project B Description	-					
Project C Description	-					
Project D Description	-					
Total	245,000	245,000	-	-	-	-
Operation #3						
Project A Description	-					
Project B Description	-					
Project C Description	-					
Project D Description	-					
Total	-	-	-	-	-	-
Operation #4						
Project A Description	-					
Project B Description	-					
Project C Description	-					
Project D Description	-					
Total	-	-	-	-	-	-
Operation #5						
Project A Description	-					
Project B Description	-					
Project C Description	-					
Project D Description	-					
Total	-	-	-	-	-	-
Operation #6						
Project A Description	-					
Project B Description	-					
Project C Description	-					
Project D Description	-					
Total	-	-	-	-	-	-
TOTAL PROPOSED CAPITAL BUDGET	\$ 560,000	\$ 560,000	\$ -	\$ -	\$ -	\$ -

Enter brief description of up to four projects for each operation above. For operations with more than four budgeted projects, please attach additional schedules. Input total amount of all projects for the operation on single line and enter "See Attached Schedule" instead of project description.

2016 Proposed Capital Budget

Pompton Lakes Municipal Utilities Authority
For the Period June 1, 2016 to

May 31, 2017

		Funding Sources				
	Estimated Total Cost	Unrestricted Net Position Utilized	Renewal & Replacement Reserve	Debt Authorization	Capital Grants	Other Sources
WATER						
Misc. Water Main Valve Insertions	\$ 20,000	\$ 20,000				
Misc. Well Upgrades	50,000	50,000				
Misc. Upgrades to Water System	50,000	50,000				
Misc. Building Upgrades	10,000	10,000				
Twin Lakes Damn Insp./Design/Permit	20,000	20,000				
Misc. Security Equipment	10,000	10,000				
Misc. Paving & Concrete Repairs	25,000	25,000				
Water Storage Tank Cathodic Protection	10,000	10,000				
Fire Hydrant and Aux. Valve Upgrades	50,000	50,000				
Misc. Computer and Office Equipment	20,000	20,000				
Misc. Tools and Equipment	20,000	20,000				
G.I.S. Water System Mapping	10,000	10,000				
Emergency Road Repair Safety Trench Box	10,000	10,000				
Pollution Control Building Furnace Upgrade	10,000	10,000				
Total	315,000	315,000	-	-	-	-
SEWER						
Misc. Upgrades to Pumping Stations	25,000	25,000				
Misc. Infrastructure Upgrades	20,000	20,000				
Misc. Treatment Plant Upgrades	30,000	30,000				
Misc. Building Upgrades	10,000	10,000				
Twin Lakes Damn Insp./Design/Permit	20,000	20,000				
Misc. Security Equipment	10,000	10,000				
Misc. Paving & Concrete Repairs	10,000	10,000				
W.T.P. UV Disinfection System Upgrades	20,000	20,000				
Misc. Computer and Office Equipment	20,000	20,000				
Collection System Inspection Equipment	25,000	25,000				
Pollution Control Building Furnace Upgrade	10,000	10,000				
Upgrade of WTF Sludge Thickener Electric	25,000	25,000				
G.I.S. Collection System Mapping	10,000	10,000				
Emergency Road Repair Safety Trench Box	10,000	10,000				
Total	245,000	245,000	-	-	-	-
TOTAL PROPOSED CAPITAL BUDGET	\$ 560,000	\$ 560,000	\$ -	\$ -	\$ -	\$ -

Enter brief description of up to four projects for each operation above. For operations with more than four budgeted projects, please attach additional schedules. Input total

5 Year Capital Improvement Plan

Pompton Lakes Municipal Utilities Authority

For the Period

June 1, 2016

to

May 31, 2017

Fiscal Year Beginning in

	Estimated Total Cost	Current Year Proposed Budget	2017	2018	2019	2020	2021
Water							
See Schedule CB-3a	\$ 315,000	\$ 315,000					
Project B Description	-	-					
Project C Description	-	-					
Project D Description	-	-					
Total	315,000	315,000	-	-	-	-	-
Sewer							
See Schedule CB-3a	245,000	245,000					
Project B Description	-	-					
Project C Description	-	-					
Project D Description	-	-					
Total	245,000	245,000	-	-	-	-	-
Operation #3							
Project A Description	-	-					
Project B Description	-	-					
Project C Description	-	-					
Project D Description	-	-					
Total	-	-	-	-	-	-	-
Operation #4							
Project A Description	-	-					
Project B Description	-	-					
Project C Description	-	-					
Project D Description	-	-					
Total	-	-	-	-	-	-	-
Operation #5							
Project A Description	-	-					
Project B Description	-	-					
Project C Description	-	-					
Project D Description	-	-					
Total	-	-	-	-	-	-	-
Operation #6							
Project A Description	-	-					
Project B Description	-	-					
Project C Description	-	-					
Project D Description	-	-					
Total	-	-	-	-	-	-	-
TOTAL	\$ 560,000	\$ 560,000	\$ -	\$ -	\$ -	\$ -	\$ -

Project descriptions entered on Page CB-3 will carry forward to Pages CB-4 and CB-5. No need to re-enter project descriptions above.

5 Year Capital Improvement Plan Funding Sources

Pompton Lakes Municipal Utilities Authority

For the Period

June 1, 2016

to

May 31, 2017

Funding Sources

	Estimated Total Cost	Unrestricted Net Position Utilized	Renewal & Replacement Reserve	Debt Authorization	Capital Grants	Other Sources
Water						
See Schedule CB-3a	\$ 315,000	\$ 315,000				
Project B Description	-					
Project C Description	-					
Project D Description	-					
Total	315,000	315,000	-	-	-	-
Sewer						
See Schedule CB-3a	245,000	245,000				
Project B Description	-					
Project C Description	-					
Project D Description	-					
Total	245,000	245,000	-	-	-	-
Operation #3						
Project A Description	-					
Project B Description	-					
Project C Description	-					
Project D Description	-					
Total	-	-	-	-	-	-
Operation #4						
Project A Description	-					
Project B Description	-					
Project C Description	-					
Project D Description	-					
Total	-	-	-	-	-	-
Operation #5						
Project A Description	-					
Project B Description	-					
Project C Description	-					
Project D Description	-					
Total	-	-	-	-	-	-
Operation #6						
Project A Description	-					
Project B Description	-					
Project C Description	-					
Project D Description	-					
Total	-	-	-	-	-	-
TOTAL	\$ 560,000	\$ 560,000	\$ -	\$ -	\$ -	\$ -
Total 5 Year Plan per CB-4	\$ 560,000					
Balance check		- If amount is other than zero, verify that projects listed above match projects listed on CB-4.				

Project descriptions entered on Page CB-3 will carry forward to Pages CB-4 and CB-5. No need to re-enter project descriptions above.